

Group Art Unit: 2165

Examiner: Unasşigned

Customer No. 22,852 Attorney Docket No. 0556.0039

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

John POLK et al.

Application No.: 10/043,493

Filed: January 14, 2002

APPARATUS AND METHODS FOR For:

RECEIVED PROVIDING A PAYMENT SYSTEM JAN 2 1 2003 OVER A NETWORK

Commissioner for Patents Washington, DC 20231

**GROUP 3600** 

Sir:

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application. Copies of the listed documents are attached.

Applicants notify the Examiner of continuing developments in a litigation in the United States District Court for the District of Minnesota (Civ. Action No. 02-CV-1321 DWF/SRN) between Plaintiff Pay Child Support Online Inc and Defendant ACS State & Local Solutions, Inc. This Information Disclosure Statement includes copies of

HENDERSON FARABOW DUNNER些

1300 I Street, NW Washington, DC 20005 202.408.4000 Fax 202.408.4400 www.finnegan.com

Application Serial No. 10/043,493 Attorney Docket No. 6556.0039

documents that have been identified in this litigation. These documents are submitted for the Examiner's consideration.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and Applicants determine that the cited document do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any additional fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Reg# 45,874 for

Dated: January 16, 2003

Robert E. Converse, Jr.

Robert E. Converse, Jr.

FINNEGAN HENDERSON FARABOW GARRETT & DUNNER LLP

1300 I Street, NW Washington, DC 20005 202.408.4000 Fax 202.408.4400 www.finnegan.com



OMB No. 0651-0011

RECEIVED

Atty. Docket No.	06556-0039-00000	Appln. No.	10/043,493	JAN 2 1 2003
Applicant	John POLK et al.	· <del>· · ·</del>		
Filing Date	January 14, 2002	Group:	2165	GROUP 3600

		U.S. PATENT	DOCUMENTS			
Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate

	FOREIGN PATE	NT DOCUMENT	s		
Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
			ļ		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
Child Support Application Banking Convention, The National Automated Clearing House Association, 1993, Herndon, VA (11 pages).
Summary of April 14 <sup>th</sup> APA ACH Committee, Apr. 19, 1994 (22 pages).
Letter by Pat Hagen, OCSE, Apr. 26, 1994 (2 pages).
Chapman, Irene, Speech for ERICSA (Eastern Region Interstate Child Support Association), New Orleans, June 7, 1994 (13 pages).
Chapman, Irene, Summary of June 8 <sup>th</sup> Teleconference of the APA ACH Committee, June 10, 1994 (2 pages).
Chapman, Irene, Child Support & Withholding and Price Costco and the Family Perspective, The Corporate Connection, May 1996 (4 pages).
Workshop Materials Houston Chapter of the American Payroll Association, July 21, 1995 (63 pages).
Screen prints from City of Houston Payroll System, Jan. 23, 1996 (14 pages).

Examiner Date Considered			
*Examiner:		considered, whether or not citation is in conformance with MPEP 609; draw line f not in conformance and not considered. Include copy of this form with next o applicant.	
Form PTO 1449		Patent and Trademark Office - U.S. Department of Commerce	

OMB No. 0651-0011



## **INFORMATION DISCLOSURE CITATION**

CALL IN				RECEIVED
Atty. Docket No.	06556-0039-00000	Appln. No.	10/043,493	RECEIVED
Applicant	John POLK et al.	•		JAN 2 1 2003
Filing Date	January 14 2002	Group:	2165	GROUP 3600

		U.S. PATENT	DOCUMENTS			
Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate

	FOREIGN PATE	T DOCUMENT	s		
Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
-		·			

	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
•	Zeidner, Rita, Comments, American Payroll Association, Mar. 22, 1996 (6 pages).
	Lang, Scott, letter regarding Child Support Application Banking Convention: A Guide for Employers & Their Financial Institutions, Apr. 12, 1996 (4 pages).
	Camp, Dave, Letter to James Owen, Meijer, Inc., June 17, 1996 (1 page).
	Program Eases Child Support Payments for Colorado, Aug. 15 1996 (1 page).
	Bryant, Amy, Memo to Alicia Key and Cecelia Burke, Office of the Attorney General of Texas, Feb. 27, 1997 (9 pages).
	Bryant, Amy, EFT/EDI Deductions for Child Support, City of Houston, Mar. 30, 1997 (65 pages).
	National Child Support Enforcement Association, "State Legislatures Address PRWORA'S Child Support Requirements," NCSEA NEWS, Summer 1997, Vol. 27, No. 3 (44 pages).
-	Bryant, Amy, An Employer's View Point on What is Happening with Direct Deposit of Child Support, NCSEA News, Summer 1997 (3 pages).

Examiner		Date Considered	
*Examiner:		ce considered, whether or not citation is in conformance with MPEP 609; draw line if not in conformance and not considered. Include copy of this form with next to applicant.	
Form PTO 14	149	Patent and Trademark Office - U.S. Department of Commerce	



Alty: Docket No.	06556-0039-00000	Appln. No.	10/043,493	
Applicant	John POLK et al.			
Filing Date	January 14, 2002	Group:	2165	

	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
	Amorette N. Bryant, Draft of Article for American Payroll Association, First Hand Experience – Implementing Direct Deposit of Child Support Payments, undated (6 pages).
	The Guide to Successful Direct Deposit, 13 <sup>th</sup> Ed., American Payroll Association, March 1, 2000 (301 pages).
	"Standing Tall For Children", Conference Notebook, NCSEA 46 <sup>th</sup> Annual Conference & Exposition, Phoenix, Arizona, Aug. 1997 (453 pages).
	Polk, John D., Centralized Collection/Disbursement, NCSEA Conference Notebook, Aug. 1997 (18 pages).
	NCSEA 45th Conference Notebook, "Putting Children in the Winners Circle," Louisville, Kentucky, Aug. 1996 (434 pages).
	John D. Polk, Central Payment Processing: a Sure Bet, NCSEA Conference Notebook, Louisville, Kentucky, Aug. 1996 (4 pages).
	Office of Child Support Enforcement web page, Dec. 20, 1994 (12 pages).
·	RECEIVED
· .	JAN 2 1 2003
	GROUP 3600

Examiner		Date Considered
*Examiner:	Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	
Form PTO 1449		Patent and Trademark Office - U.S. Department of Commerce